

---

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

In re application of: Neal et al.

Attorney Docket No.: DEM1P001

Application No.: 09/741,958

Examiner: Robinson Boyce, Akiba K.

Filed: December 20, 2000

Group: 3628

Title: PRICE OPTIMIZATION SYSTEM

Confirmation No.: 7264

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FILED VIA EFS**

**August 18, 2007**

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT FILED**  
**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3)

months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

- X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.
- \_\_\_ A certification pursuant to 37 C.F.R. §1.97(e) or
- X A Credit Card Authorization for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due or credit any overpayment to our Deposit Account No. 50-2766 (Order No. DEM1P001).

**LAW OFFICES OF KANG S. LIM**  
PMB 436  
3494 Camino Tassajara Road  
Danville, CA 94506  
Voice: (925) 570 8198  
Facsimile: (925) 736 3974

Respectfully submitted,

/Kang S. Lim/

Kang S. Lim  
Attorney for Applicant(s)  
Reg. No. 37,491

**CUSTOMER NO. 36088**

\\KSL IDS d

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56	ATTY. DOCKET NO. DEM1P001	SERIAL NO. 09/741,958
	APPLICANT Neal et al.	
	FILING DATE 12/20/2000	GROUP 3628

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/A.R./		7,058,617	06/2006	Hartman et al.			
/A.R./		6,988,076	01/2006	Ouimet			
/A.R./		6,731,998	05/2004	Walser et al.			
/A.R./		6,609,101	08/2003	Landvater et al.			
/A.R./		6,536,935	03/2003	Parunak et al.			
/A.R./		6,009,415	12/1999	Shurling et al.			
/A.R./		5,902,351	05/1999	Streit et al.			
/A.R./		5,832,458	11/1998	Jones			
/A.R./		4,916,443	04/1990	Barrett et al.			
/A.R./		4,862,357	08/1989	Ahstrom et al.			
/A.R./		2001/0014868	05/2001	Herz et al.			
/A.R./		2002/0042755	04/2002	Kumar et al.			
/A.R./		2004/0111358	06/2004	Lange et al.			
/A.R./		2006/0224534	10/2006	Hartman et al.			

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/A.R./		WO 00/705560	11/23/00	Ren et al.				
/A.R./		WO 97/46950	12/11/97	Karaev et al.				
/A.R./		WO 00/70519	11/23/00	Falic et al.				

### OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

/A.R./	Diebold, Francis X., "The Past, Present, and Future of Macroeconomic Forecasting" Economic Perspectives (IJEP), vol. 12, no. 2, pages 175-192, Spring 1998.
/A.R./	"Supplementary European Search Report", Application No. 02719197.2-2221 dated February 2, 2007.
/A.R./	Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only).
/A.R./	Busch: "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56.

EXAMINER	/Akiba Robinson Boyce/	DATE CONSIDERED	07/08/2008
----------	------------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.